SERIAL NO. 10/042,415

DOCKET NO. AUS920010787US1

DATE: July 12, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Automated Resource Reduction Analysis

Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 5 references;
- \underline{X} Copy of 5 non-patent references;

37 CFR § 1.97 is satisfied by the following:

- X This IDS is being filed pursuant to CFR § 1.97(c) and:
 - \underline{X} no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447.
 - X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By <u>/Leslie A. Van Leeuwen, Reg. No. 42,196/</u> Leslie A. Van Leeuwen, Reg. No. 42,196 Attorney for Applicant Telephone: (512) 301-6738

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SERIAL NO. 10/042,415

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DATE: July 12, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Group Art Unit: 3623

Calderaro, et. al. 88888 Serial No.: 10/042,415

Filed: January 8, 2002

Title: System and Method for **Intellectual Property Law Dept.**

Automated Resource Reduction 11400 Burnet Road Analysis Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By /Leslie A. Van Leeuwen, Reg. No. 42,196/ Leslie A. Van Leeuwen, Reg. No. 42,196 Van Leeuwen & Van Leeuwen

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In Place of FORM PTO-1449 (Modified)

Serial No. 10/042,415

Applicant: Calderaro, et. al.

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE

Filing Date: 1/8/2002 Group: 3623

STATEMENT

Atty. Docket No. AUS920010787US1

	U. S. PATENT DOCUMENTS					
Examiner <u>Initial</u>	A A	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	Classification Class / Subclass	Filing Date if Appropriate
	AA AB					
FOREIGN PATENT DOCUMENTS						
Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Country</u>	Classification	Translation Yes No
	AC AD					
Examiner Initial	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
	AE	ANPAS System (11 pages), www.webarchive.org, April 2001.				
	AF	Blackwell, "Job performance would decide raise," Richmond Times-Dispatch, May 7, 1997.				
	AG	Campbell et al., "Self appraisal in performance evaluation: development versus evaluation," The Academy of Management Review, April 1998.				
	АН	Aram et al., "An evaluation of organizational due process in the resolution of employee/employer conflict," The Academy of Management Review, April 1981.				
	AI	Lam et al., "Total quality management and performance appraisal: an experimental study of process versus results and group versus individual approaches," Jour. of Org. Behavior, April 1999.				
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File No. IBM-AUS-1043

applicant.